

# Call for Projects

## Transportation Alternative Set-Aside

### Fiscal Years 2021-2023

#### **Program Description**

Tri-County Regional Planning Commission (TCRPC), the metropolitan planning organization (MPO) for the Peoria-Pekin Urbanized Area, announced the availability of Transportation Alternatives (TAP) funding for transportation projects.

TAP was initially authorized under Section 1122 of Moving Ahead for Progress in the 21<sup>st</sup> Century Act (MAP-21). The Fixing America's Surface Transportation (FAST) Act replaced the original TAP with Transportation Alternative set-aside funds under the Surface Transportation Block Grant (STBG) Program. The Infrastructure Investment and Jobs Act (IIJA) continued the Transportation Alternatives set-aside from the STBG program. To reduce confusion, TCRPC still refers to the Transportation Alternatives set-aside as TAP.

TAP authorizes funding for programs and projects defined as transportation alternatives, including on- and off-road pedestrian and bicycle facilities, infrastructure projects for improving non-driver access to public transportation and enhanced mobility, community improvement activities such as historic preservation and vegetation management, and environmental mitigation related to storm water and habitat connectivity; recreational trail projects; safe routes to school projects; and projects for planning, designing, or constructing boulevards and other roadways largely in the right-of-way of former divided highways.

#### **Funding Information**

Federal funding for TAP is provided by the Transportation Alternatives set-aside of the STBG Program and is authorized by the FAST Act and reauthorized by the IIJA. The IIJA authorized five years of TAP funding.

These funds come from the Federal Highway Administration (FHWA), and the Illinois Department of Transportation (IDOT) serves as the administrator. As an MPO with an urbanized population over 200,000, TCRPC receives an annual allotment of TAP funds to program. TCRPC's Fiscal Year (FY) 2021 allotment is \$293,959; the FY 2022 allotment is \$576,352; and the FY 2023 allotment is \$588,254. The total available funds are \$1,458,565.

#### **Eligibility Information**

An entity may not apply for a grant until the entity has registered and pre-qualified through the Grant Accountability and Transparency Act (GATA) Grantee Portal, <https://gata.illinois.gov/>. Each entity should check with their county's or municipality's highest-level personnel (such as the treasurer, clerk, etc.) to see how they are currently registered in the GATA portal. Registration and pre-qualification are required annually. During pre-qualification, verifications are performed including a check of federal SAM.gov Exclusion List and status on the Illinois Stop Payment List. The Grantee Portal alerts the entity of "qualified" status or informs them how to remediate a negative verification (e.g., inactive UEI, not in good standing with the Secretary of State). Inclusion on the SAM.gov Exclusion List cannot be remediated.

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For Local Governments, there are two types of registrations, which include:

- Centralized – primarily for Local Government (most common type) – Counties and Municipalities with multiple departments and divisions where the funds/awards go to the county or municipality's highest level and then to the specific division or department. The highest entity level would register for all departments and divisions. All entities that fall under this centralized registration would be listed/identified in a "Grantee Note" by the registrant or the registrant can obtain assistance from DOT.GATA@illinois.gov to enter in the additional entities for them. All the awards for any entity listed would go to the registered FEIN, UEI and GATA registration (a.k.a. Organization ID) numbers listed on the centralized registration. One Internal Control Questionnaire (ICQ) would be completed, and ONE Indirect Cost Rate would be identified for all entities listed under the registration.
- Parent/Child – primarily for Local Government (least common type) – Counties and Municipalities with multiple departments and divisions that have their own UEI numbers AND receive the funds/awards directly. The funds/awards DO NOT go through the highest level. The highest entity (the parent) level would register their FEIN and UEI, complete an ICQ and select an indirect cost rate.

### Eligible Applicants

All projects applying for TAP funding must be sponsored by one or more member entities of the Peoria-Pekin Urbanized Area MPO. Other governmental entities within the MPO planning area are eligible only with co-sponsorship by one of the MPO member entities. A map of the MPO planning area may be found at the end of this document.

Examples of Non-MPO eligible project co-sponsors include:

- Local governments
- Transit agencies
- Natural resource or public land agencies
- School districts, local education agencies, or schools
- Tribal governments
- Any other local or regional governmental entity with responsibility for oversight of transportation or recreational trails

Sponsors assume responsibility and accountability for the use and expenditure of program funds. Applicants and/or implementing agencies must be able to comply with all the federal and state laws, regulations, policies, and procedures required to enter into project agreements.

### Federal Share and Matching Funds

**TAP is a reimbursable program.** The Federal share for TAP projects is as follows:

- Preliminary engineering, utility relocations, construction engineering and construction are eligible for funding at an 80/20 match, i.e., 80% is TAP matched by 20% sponsor share.
- Acquisition of right-of-way and easements are eligible for funding at a 50/50 match, i.e., 50% is TAP funding matched by 50% sponsor share.
- Street lighting, unless in a designated historical area, also requires a 50% local match. In a designated historic area, street lighting may qualify for 80% TAP with a 20% local match.

The local match does not necessarily have to be provided directly by the sponsor, but it must be a non-federal source to qualify as match. Submission of an application commits the applicant to the required match.

## **Application and Submission Information**

The application and all relevant materials will be posted at <https://tricountyrpc.org/funding-programs/tap>, but may also be requested from TCRPC staff. To apply, applications must be completed digitally and submitted to [funding@tricountyrpc.org](mailto:funding@tricountyrpc.org) with all relevant materials in PDF format **by 4:00pm on Friday, March 31, 2023**. Applications are also accepted by mail and must be marked as TAP Application. The mailing address is: 456 Fulton Street, Suite 401, Peoria, IL 61602.

Applications should include the following:

- TAP Application Form
- Project Location Map
- Resolution to Commit Matching Funds
- Optional supporting documentation, such as letters of support, photographs, conceptual designs, etc.

Please direct any questions to staff at (309) 673-9330 or by email at [funding@tricountyrpc.org](mailto:funding@tricountyrpc.org).

### Unique Entity Identifier (UEI) and System for Award Management (SAM)

Each applicant (unless the applicant is an individual or Federal or State awarding agency that is exempt from those requirements under 2 CFR § 25.110(b) or (c), or has an exception approved by the Federal or State awarding agency under 2 CFR § 25.110(d)) is required to:

- i. Be registered in SAM before submitting its application. To establish a SAM registration, go to [www.SAM.gov](http://www.SAM.gov) and/or utilize this instructional link: [How to Register in SAM from the www.grants.illinois.gov Resource Links tab](#). As of 6/11/18, entities registering in SAM must submit a notarized letter appointing their authorized Entity Administrator. Use the updated FAQ sheet from SAM.gov to learn more.
- ii. Provide a valid UEI number in its application. All applicants must have a Unique Entity Identifier (UEI) number from SAM.gov. For more information on the DUNS to UEI migration, please see [IDOT Circular Letter CL2022-10](#); and
- iii. Continue to maintain an active SAM registration with current information during which the entity has an active Federal, Federal pass-through, or State award or an application or plan under consideration by a Federal or State awarding agency. Awarding agency will not make Federal pass-through or State award to an applicant until the applicant has complied with all applicable UEI and SAM requirements. If applicants do not complete the UEI and SAM requirements in a timely manner, then the awarding agency may determine that the applicant is not qualified to receive a Federal pass-through or State award and use that determination as a basis for making a Federal pass-through or State award to another applicant.

### Submission Dates and Times

The call for projects begins Wednesday, February 15, 2023, and ends **at 4:00pm on Friday, March 31, 2023**. Applications received after this date will be considered ineligible for TAP funding.

### Funding Restrictions

The maximum project TAP award is \$486,188. All awarded funds must be used according to all applicable federal and state laws, regulations, policies, and procedures. TAP funds lapse within three years if not obligated. Projects unable to meet these deadlines will be rescinded.

### Eligible Projects

A project must demonstrate a relationship to surface transportation to be eligible for TAP funding. TAP projects must enhance the transportation system either by serving a transportation need or providing a transportation use or benefit and not be solely for recreational use. Projects must be consistent with the goals of TCRPC's Long-Range Transportation Plan, the IJJA, and the BikeConnect HOI Regional Bicycle Plan. In addition, projects need to be constructed in reasonable, useable segments and provide logical termini.

Activities are not eligible if they are routine maintenance projects such as re-striping a trail, cleaning up debris, or repairing a broken curb. Please be advised – if your project involves sidewalk work, you most likely will be required to update the facility to meet current ADA Accessibility Standards. That would include, but not be limited to, curb cuts and handicapped ramps at intersections and corrective measures to fix cross slopes that exceed the ADA standards.

The MPO follows guidance from the FHWA. The FHWA has prepared a document, [Transportation Alternatives Guidance](#), which outlines all eligible activities. Additionally, the FHWA has a [Transportation Alternative Fact Sheet](#). IDOT also offers TAP guidance with their [Illinois Transportation Enhancement Program \(ITEP\)](#).

**23 U.S.C. 133(h)(3)(A):** Projects or Activities described in 23 U.S.C. 101(a)(29) or 23 U.S.C. 213 as in effect prior to the enactment of the FAST Act. Those sections contained the following eligible projects:

Under 23 U.S.C. 213(b), eligible activities under the TAP program consists of:

1. Transportation Alternatives as defined in 23 U.S.C. 101(a)(29) (MAP-21 §1103):
  - A. Construction, planning, and design of on-road and off-road trail facilities for pedestrians, bicyclists, and other non-motorized forms of transportation, including sidewalks, bicycle infrastructure, pedestrian and bicycle signals, traffic calming techniques, lighting and other safety-related infrastructure, and transportation projects to achieve compliance with the Americans with Disabilities Act of 1990 (42 USC 12101 et seq.).
  - B. Construction, planning, and design of infrastructure-related projects and systems that will provide safe routes for non-drivers, including children, older adults, and individuals with disabilities to access daily needs.
  - C. Conversion and use of abandoned railroad corridors for trails for pedestrians, bicyclists, or other non-motorized transportation users.

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- D. Construction of turnouts, overlooks, and viewing areas.
  - E. Community improvement activities, which include but are not limited to:
    - i. inventory, control, or removal of outdoor advertising
    - ii. historic preservation and rehabilitation of historic transportation facilities
    - iii. vegetation management practices in transportation rights-of-way to improve roadway safety, prevent against invasive species, and provide erosion control
    - iv. archaeological activities relating to impacts from implementation of a transportation project eligible under title 23
  - F. Any environmental mitigation activity, including pollution prevention and pollution abatement activities and mitigation to:
    - i. address stormwater management, control, and water pollution prevention or abatement related to highway construction or due to highway runoff, including activities described in sections 133(b)(11), 328(a), and 329 of title 23; or
    - ii. reduce vehicle-caused wildlife mortality or to restore and maintain connectivity among terrestrial or aquatic habitats.
2. The recreational trails program under section 206 of title 23.
  3. The safe routes to school program eligible projects and activities listed at section 1404(f) of the SAFETEA-LU:  
[http://www.fhwa.dot.gov/environment/safe\\_routes\\_to\\_school/overview/legislation.cfm](http://www.fhwa.dot.gov/environment/safe_routes_to_school/overview/legislation.cfm)  
Safe Routes to School was formerly a stand-alone program, but is now one of the eligible activities under TAP:
    - A. Infrastructure-related projects.
    - B. Non-infrastructure-related activities.
    - C. Safe Routes to School coordinator.
  4. Planning, designing, or constructing boulevards and other roadways largely in the right-of-way of former Interstate System routes or other divided highways.
  5. An urbanized area with a population of more than 200,000 to use up to 50% of its suballocated TAP funds for any STBG-eligible purpose (but still subject to the TAP-wide requirement for competitive selection of projects); and [23 U.S.C. 133(h)(6)(B)]

TAP funds cannot be used for:

- Promotional activities, except as permitted under the SRTS.
- General recreation and park facilities, playground equipment, sports fields, campgrounds, picnic areas and pavilions, etc.
- Routine maintenance and operations.

### Eligible Project Phases and Costs

The sponsor must prepare (or have prepared by an engineering or architectural firm) an accurate cost estimate for all types of work involved with the TAP project. Agencies or their consultants should be knowledgeable about estimating these types of project costs and the requirements of utilizing Federal funds.

- The costs eligible for reimbursement are:
- Preliminary engineering
- Utility relocations
- Right-of-way and easements
- Construction engineering
- Construction

Preliminary engineering is divided into two phases. The Phase I Engineering (PE I) is the design and environmental study that determines the best overall approach to and the location of a project, addresses environmental concerns, prepares a cost estimate, and defines the scope of work. The Phase II Engineering (PE II) consists of preparation of final construction plans, summary of quantities, specifications, and final cost estimate.

Utility relocations include any costs not covered in an existing utility agreement for relocation of power lines, telephone lines, gas lines, and other utilities affected by the TAP project. Rights-of-way (ROWs) or easements include acquiring land or rights necessary for the construction of the project. Buildings cannot be purchased with enhancement funds. Construction Engineering (CE) involves the supervision of construction. Construction includes all materials and labor costs necessary to complete the construction of the project.

Any costs associated with the project that are necessary for implementing the TAP project are eligible. For example, costs for environmental mitigation required for the project would be eligible costs of a project.

Feasibility and planning studies are not project-specific and therefore are ineligible costs. Projects can have enhancement eligible items and ineligible items implemented in the same project if the project elements are similar in construction procedures. The eligible and ineligible project elements must be separated in the local or interagency agreements, summary of quantities, plans, and contracts.

The project sponsor is responsible for 100% of any ineligible costs.

### **Application Review Information**

All TAP project applications submitted by the deadline will be evaluated for funding using the project scoring and evaluation criteria, selection process, and anticipated scheduled reviews in this section of the Call for Projects.

### Project Scoring and Evaluation Criteria

The scoring and evaluation criteria included in the TAP application is below.

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Criterion	Maximum Points Available
<i>Self-Scored on Application</i>	
Consistency with LRTP 2045: TCRPC’s Long Range Transportation Plan	10
Jurisdiction’s Long Range or Comprehensive Plan	10
Co-Sponsorship	10
Documented Public Involvement	10
Documented Community Support	10
Intermodal Connections	10
Infrastructure and Facilities	10
Safety Factors	10
Project Schedule and Readiness	5
<i>Determined by Subcommittee</i>	
Public Benefits – Usage	10
Public Benefits – Value	5
<b>TOTAL</b>	<b>100</b>

**Project Review and Selection Process**

TCRPC staff will review all TAP project application submittals to ensure project eligibility and application completeness and accuracy. Staff will verify all relevant documentation, such as the LRTP or local comprehensive plans. When developing the proposed program, timely implementation will be considered as a major factor in project selection, as TAP funds lapse within three years. For example, the FY 2021 TAP funds must be obligated by September 30, 2024. Staff may request to have discussions with sponsors to verify project details and assess complications that might affect project readiness.

A TAP subcommittee consisting of selected Technical Committee members will evaluate each application and assign scores to the “Public Benefits” section of the application, for a maximum of fifteen (15) points total. **IMPORTANT:** The total point scores are a guide for programming decisions. The subcommittee may recommend funding a lower-scoring project over a higher-scoring project. It is at the discretion of the subcommittee to determine their reasoning for a recommendation, if not solely relying on point totals. However, any reasoning must be clearly documented and articulated in the final recommendation to the Technical Committee.

Additional TAP funds will not be available beyond the initial programmed amounts without approval of the Commission; any increases in project costs will be the responsibility of the sponsors. Likewise, if a jurisdiction changes the scope of a project, after the funds are awarded, the project must be reviewed again and approved by the Commission before previously awarded funds are used for activities not included in the original scope of work.

Anticipated Schedule and Programming Date

Date	Action
<b>February 15, 2023</b>	Issue call for projects and publish applications on website
<b>March 31, 2023</b>	TAP applications due to TCRPC staff by 4:00 pm
<b>Week of April 3, 2023</b>	Staff reviews applications, ensuring completeness and validity
<b>April 19, 2023</b>	Project proposals presented during Technical Committee meeting
<b>April 19, 2023</b>	Establish TAP Subcommittee during Technical Committee meeting
<b>May 3, 2023 (est.)</b>	TAP Subcommittee convenes, reviews applications, assigns “Public Benefits” scores, and makes recommendation to Technical Committee
<b>May 17, 2023</b>	Technical Committee considers TAP Subcommittee recommendation
<b>June 7, 2023</b>	Commission considers Technical Committee recommendation and programs TAP funding

**Award Administration Information**

The TAP project selection process is transparent at the MPO level. Project presentations and the formation of a TAP Subcommittee occur at the Technical Committee meeting following the application due date. The TAP Subcommittee’s scoring results and recommendations are presented to the Technical Committee for the members to review and recommend to the Commission. The Commission members will in turn review and program the funding allotments.

Award notices

Following program approval, all applicants are notified, and successful sponsor(s) are requested to work with IDOT and TCRPC staff to initiate the awarded projects.

Administrative and National Policy Requirements

Federal: 23 USC 133(h), IJA 1109; State: 20 ILCS 2701/615

Reporting

The Uniform Periodic Performance Report (PPR) and the Uniform Periodic Financial Report (PFR) have been combined into IDOT's BoBS<sup>1</sup> 2832 Reporting Form. Quarterly reporting will be required unless more frequent reporting is required pursuant to specific award conditions.

These reports will describe the expenditure(s) of the funds and performance measures related thereto. The first BoBS 2832 report shall cover the first three months after the award begins. Quarterly reports must be submitted no later than thirty (30) calendar days following the three-month period covered by the report. Failure to submit the required BoBS 2832 report may cause a delay or suspension of funding.

The FHWA is responsible for generating a report on the status of TAP and project details. IDOT works with the FHWA on this report, which is submitted annually to the U.S. Secretary of Transportation and made

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<sup>1</sup> Bureau of Business Services



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available to the public. Additional reporting for individual projects may be necessary for projects not moving to completion in a timely manner.

### **Awarding Agency Contact**

Tri-County Regional Planning Commission  
456 Fulton Street, Suite 401  
Peoria, IL 61602

Phone: (309) 673-9330

Email: [funding@tricountyrpc.org](mailto:funding@tricountyrpc.org)

### **Certifications and Assurances:**

#### Certifications

**Bribery:** Applicant certifies that it has not been convicted of bribery or attempting to bribe an officer or employee of the State of Illinois, nor made an admission of guilt of such conduct which is a matter of record (30 ILCS 500/50-5).

1. **Bid Rigging:** Applicant certifies that it has not been barred from contracting with a unit of State or local government as a result of a violation of Paragraph 33E-3 or 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3 or 720 ILCS 5/33E-4, respectively).
2. **Debt to State:** Applicant certifies that neither it, nor its affiliate(s), is/are barred from receiving an Award because Applicant, or its affiliate(s), is/are delinquent in the payment of any debt to the State, unless Applicant, or its affiliate(s), has/have entered into a deferred payment plan to pay off the debt, and Applicant acknowledges Grantor may declare the Agreement void if the certification is false (30 ILCS 500/50-11).
3. **Internal Revenue Code Compliance:** Applicant certifies that it does and will comply with all provisions of the Federal Internal Revenue Code (26 USC 1), the Illinois Revenue Act (35 ILCS 5), and all rules promulgated thereunder, including withholding provisions and timely deposits of employee taxes and unemployment insurance taxes.
4. **Lobbying:** Applicant certifies that it has not paid prior grant funds, or upon receiving an Award and Grant Agreement, will not be paying Grant Funds by or on behalf of Applicant to any person for influencing or attempting to influence an officer or employee of any government agency, a member of Congress or Illinois General Assembly, an officer or employee of Congress or Illinois General Assembly, or an employee of a member of Congress or Illinois General Assembly in connection with the awarding of any agreement, the making of any grant, the making of any loan, the entering into of any cooperative or intergovernmental agreement, or the extension, continuation, renewal, amendment or modification of any agreement, grant, loan or cooperative or intergovernmental agreement. 31 USC 1352. Additionally, Applicant certifies that it has filed the required certification under the Byrd Anti-Lobbying Amendment (31 USC 1352), if applicable.
  - i. **Federal Form LLL:** If any funds, other than Federally-appropriated funds, were paid or will be paid to any person for influencing or attempting to influence any of the above persons

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in connection with a Uniform Application for State Grant Assistance and subsequent Award and Agreement, the undersigned must also complete and submit Federal Form LLL, Disclosure of Lobbying Activities Form, in accordance with its instructions.

- ii. **Lobbying Costs:** Applicant certifies that it is in compliance with the restrictions on lobbying set forth in 2 CFR Part 200.450. For any Indirect Costs associated with a Grant Agreement pursuant to an Award, total lobbying costs shall be separately identified in the Program Budget, and thereafter treated as other Unallowable Costs.
  - iii. **Procurement Lobbying:** Applicant warrants and certifies that it and, to the best of its knowledge, its subgrantees, if Applicant intends to use sub-grantees upon issuance of an Award and subsequent Grant Agreement, have complied and will comply with Executive Order No. 1 (2007) (EO 1-2007). EO 1-2007 generally prohibits Grantees and subcontractors from hiring the then-serving Governor's family members to lobby procurement activities of the State, or any other unit of government in Illinois including local governments, if that procurement may result in a contract valued at over \$25,000. This prohibition also applies to hiring for that same purpose any former State employee who had procurement authority at any time during the one-year period preceding the procurement lobbying activity.
  - iv. **Sub-awards:** If Applicant is issued an Award and subsequent, Grant Agreement, and intends to utilize sub-Grantees, Applicant certifies it will include the language of this certification in the award documents for any sub-awards made pursuant to this Award at all tiers. All sub-awardees are also subject to certification and disclosure. Pursuant to Appendix II(I) to 2 CFR Part 200, Applicant shall forward all disclosures by contractors regarding this certification to Grantor.
5. **Educational Loan:** Applicant certifies that it is not barred from receiving State awards or agreements as a result of default on an educational loan (5 ILCS 385/1 et seq.).
  6. **International Boycott:** Applicant certifies that neither it nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provision of the U.S. Export Administration Act of 1979 (50 USC Appendix 2401 et seq. or the regulations of the U.S. Department of Commerce promulgated under that Act (15 CFR Parts 730 through 774).
  7. **Dues and Fees to a Discriminatory Club:** Applicant certifies that it is not prohibited from receiving an Award because it pays dues or fees on behalf of its employees or agents, or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates (775 ILCS 25/1 et seq.).
  8. **Pro-Children Act:** Applicant certifies that it is in compliance with the Pro-Children Act of 2001 in that it prohibits smoking in any portion of its facility used for the provision of health, day care, early childhood development services, education or library services to children under the age of eighteen (18), which services are supported by Federal or State government assistance (except such portions of the facilities which are used for inpatient substance abuse treatment) (20 USC 7181-7184).

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9. **Drug-Free Work Place:** If Applicant is not an individual, Applicant certifies it will provide a drug free workplace pursuant to the Drug Free Workplace Act. 30 ILCS 580/3. If Applicant is an individual and the Award applied for is valued at more than \$5,000, Applicant certifies it shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance during the performance of the Agreement. 30 ILCS 580/4. Applicant further certifies that it is in compliance with the government-wide requirements for a drug-free workplace as set forth in 41 USC 8102.
10. **Motor Voter Law:** Applicant certifies that it is in full compliance with the terms and provisions of the National Voter Registration Act of 1993 (52 USC 20501 et seq.).
11. **Clean Air Act and Clean Water Act:** Applicant certifies that it is in compliance with all applicable standards, order or regulations issued pursuant to the Clean Air Act (42 USC §7401 et seq.) and the Federal Water Pollution Control Act, as amended (33 USC §1251 et seq.).
12. **Debarment:** Applicant certifies that it is not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this Agreement by any Federal department or agency (45 CFR Part 76), or by the State (See 30 ILCS 708/25(6)(G)).
13. **Non-procurement Debarment and Suspension:** Applicant certifies that it is in compliance with Subpart C of 2 CFR Part 180 as supplemented by 2 CFR Part 376, Subpart C.
14. **Grant for the Construction of Fixed Works:** Applicant certifies that all Programs for the construction of fixed works which are financed in whole or in part with funds provided by this Notice of Funding Opportunity shall be subject to the Prevailing Wage Act (820 ILCS 130/0.01 et seq.) unless the provisions of that Act exempt its application. In the construction of the Program, Applicant shall comply with the requirements of the Prevailing Wage Act including, but not limited to, inserting into all contracts for such construction a stipulation to the effect that not less than the prevailing rate of wages as applicable to the Program shall be paid to all laborers, workers, and mechanics performing work under the Award and requiring all bonds of contractors to include a provision as will guarantee the faithful performance of such prevailing wage clause as provided by contract.
15. **Health Insurance Portability and Accountability Act:** Applicant certifies that it is in compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law No. 104-191, 45 CFR Parts 160, 162 and 164, and the Social Security Act, 42 USC 1320d-2 through 1320d-7, in that it may not use or disclose protected health information other than as permitted or required by law and agrees to use appropriate safeguards to prevent use or disclosure of the protected health information. Applicant shall maintain, for a minimum of six (6) years, all protected health information.
16. **Criminal Convictions:** Applicant certifies that neither it nor any officer, director, partner, or other managerial agent of Applicant has been convicted of a felony under the Sarbanes-Oxley Act of 2002, nor a Class 3 or Class 2 felony under Illinois Securities Law of 1953, or that at least five (5) years have passed since the date of the conviction. Applicant further certifies that it is not barred from receiving an Award under 30 ILCS 500/50-10.5, and acknowledges that Grantor shall declare the Award and subsequent Grant Agreement void if this certification is false (30 ILCS 500/50-10.5).
17. **Forced Labor Act:** Applicant certifies that it complies with the State Prohibition of Goods from Forced Labor Act, and certifies that, if it receives an Award and subsequent Grant Agreement, no foreign-made equipment, materials, or supplies furnished to the State under the Agreement have

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been or will be produced in whole or in part by forced labor, convict labor, or indentured labor under penal sanction (30 ILCS 583).

18. **Illinois Use Tax:** Applicant certifies in accordance with 30 ILCS 500/50-12 that it is not barred from receiving an Award under this Paragraph. Applicant acknowledges that the Award and subsequent Grant Agreement may be declared void if this certification is false.
19. **Environmental Protection Act Violations:** Applicant certifies in accordance with 30 ILCS 500/50-14 that it is not barred from receiving an Award. Grantee acknowledges that the Award and subsequent Grant Agreement may be declared void if this certification is false.
20. **Goods from Child Labor Act:** Applicant certifies that no foreign-made equipment, materials, or supplies furnished to the State under a Grant Agreement executed pursuant to an Award have been or will be produced in whole or in part by the labor of any child under the age of twelve (12) (30 ILCS 584).
21. **Federal Funding Accountability and Transparency Act of 2006:** Applicant certifies that it is in compliance with the terms and requirements of 31 USC 6101. Applicant further certifies it does and will comply with the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (P.L. 109-282) (FFATA) with respect to Federal Awards greater than or equal to \$25,000. A FFATA sub-award report must be filed by the end of the month following the month in which the award was made.
22. **Compliance with Uniform Grant Rules (2 CFR Part 200):** Applicant certifies that it shall adhere to the applicable Uniform Administrative Requirements, Cost Principles, and Audit Requirements, which are published in Title 2, Part 200 of the Code of Federal Regulations, and are incorporated herein by reference. See 44 Ill. Admin. Code 7000.30(b)(1)(A).
23. **Compliance with Non-Discrimination Laws:** Applicant, its employees and subcontractors under subcontract made pursuant to an Award and subsequent Grant Agreement, certifies that it shall comply with all applicable provisions of State and Federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity including, but not limited to, the following laws and regulations and all subsequent amendments thereto:
  - i. The Illinois Human Rights Act (775 ILCS 5/1-101 *et seq.*), including, without limitation, 44 Illinois Administrative Code Part 750, which is incorporated herein;
  - ii. The Public Works Employment Discrimination Act (775 ILCS 10/1 *et seq.*);
  - iii. The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a- and 2000h-6). (*See also* guidelines to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons [Federal Register: February 18, 2002 (Volume 67, Number 13, Pages 2671-2685)]);
  - iv. Section 504 of the Rehabilitation Act of 1973 (29 USC 794);
  - v. The Americans with Disabilities Act of 1990 (42 USC 12101 *et seq.*); and
  - vi. The Age Discrimination Act (42 USC 6101 *et seq.*).

## Assurances

1. **Applicant Standing and Authority:** Applicant assures it is duly organized, validly existing and in good standing under the laws of the State in which it was incorporated or organized and that it has the requisite power and authority to:
  - i. Execute and deliver the Uniform Application for State Grant Assistance on behalf of the Applicant;
  - ii. Execute and file the required certifications, assurances, Intergovernmental Agreements and Grant Agreements on behalf of the Applicant binding the Applicant;
  - iii. Execute Grant Agreements, Intergovernmental Agreements, and all other documents to be executed by Applicant in connection with the Award and subsequent Agreement with Grantor on behalf of the Applicant.
  - iv. Upon receiving an award and subsequent Intergovernmental Agreement or Grant Agreement, perform its obligations hereunder and to consummate the transactions contemplated within the Agreement.
  - v. If Applicant is organized under the laws of another jurisdiction, Applicant warrants that it is also duly qualified to do business in Illinois and, if applicable, is in good standing with the Illinois Secretary of State.
  
2. **General Assurances:**
  - i. Applicant assures it will comply with all applicable State, Federal and local laws, and State administrative regulations in carrying out any project supported by a State of Illinois Grant Agreement or Intergovernmental Agreement, and any and all license requirements or professional certification provisions.
  - ii. Applicant agrees that it is under a continuing obligation to comply with the terms and conditions of the Grant Agreement or Intergovernmental Agreement with Grantor issued for its project.
  - iii. Where applicable, Applicant assures it will comply with applicable State and Federal statutes, Federal regulations and Grantor administrative rules regarding confidential records or other information obtained by Applicant concerning persons served under an Intergovernmental Agreement or Grant Agreement. The records and information shall be protected by Applicant from unauthorized disclosure.
  - iv. Applicant recognizes that Federal and State laws and regulations may be modified from time to time, and those modifications may affect project implementation.
  - v. The Applicant understands that Presidential executive orders, executive orders from the Office of the Governor, Federal and State directives, including policies and program guidance may be issued concerning matters affecting the Applicant or the project. The Applicant agrees that the most recent Federal and State laws, regulations, and directives will apply to the project, unless Grantor issues a written determination otherwise.
  
3. **Registration Assurance:** Applicant assures it and any sub-grantees it might intend to utilize shall:
  - i. be registered with the Federal SAM;
  - ii. be in good standing with the Illinois Secretary of State, if applicable; and
  - iii. have a valid UEI number.

Applicant understands its responsibility to remain current with these registrations and requirements. If Applicant's status with regard to any of these requirements change, or the certifications made in and

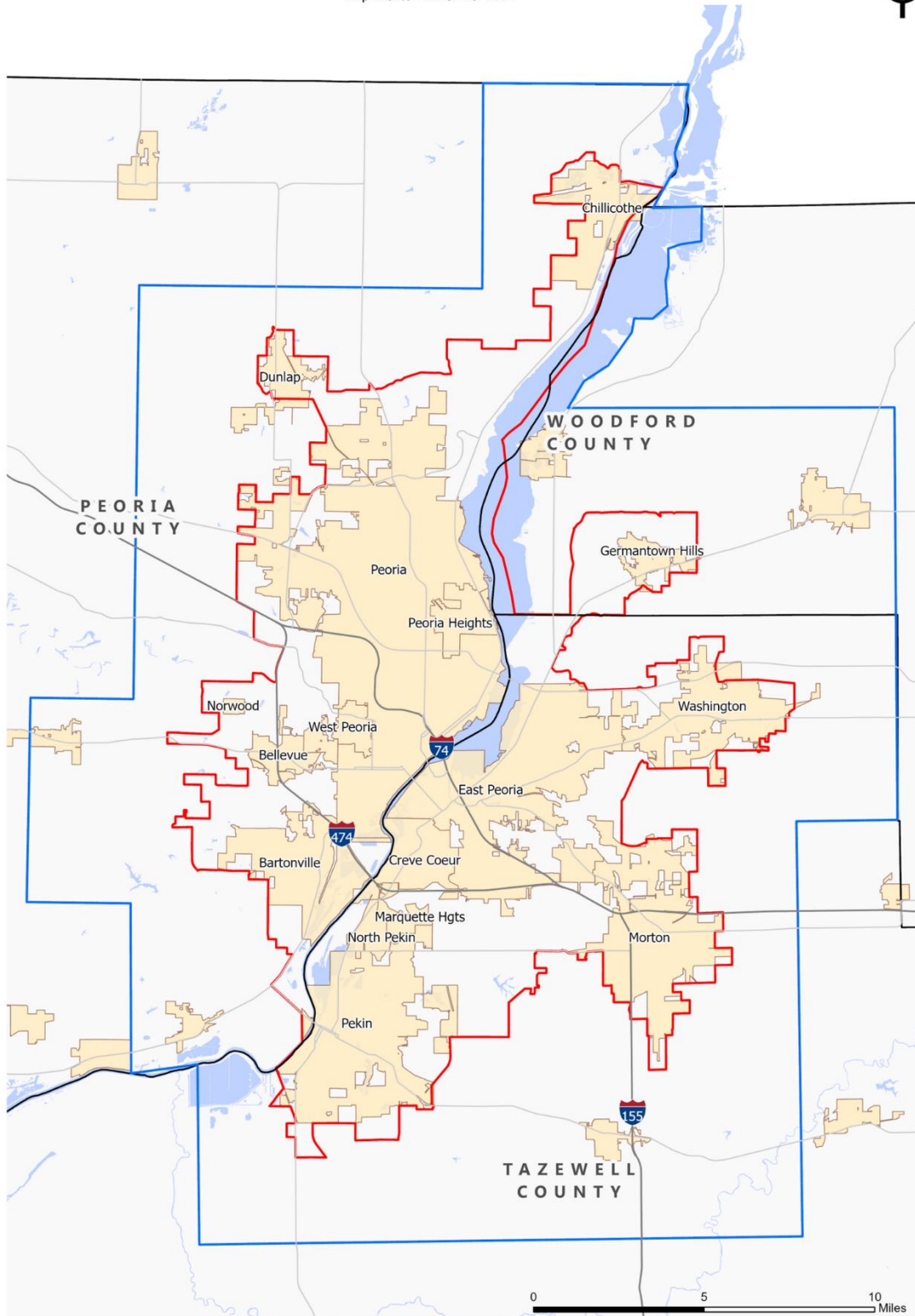
Tri-County Regional Planning Commission  
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information provided in the Uniform Application for State Grant Assistance changes, Applicant must notify the Grantor.

4. **Gift Ban Assurance:** Applicant understands it is prohibited from giving gifts to State employees and assures it will not give any gifts pursuant to Officials and Employees Ethics Act (5 ILCS 430/10-10) and Executive Order 15-09.
5. **Freedom of Information Act (FOIA) Assurance:** Applicant assures that upon request, Applicant shall make available to Grantor all documents in its possession that Grantor deems necessary to comply with requests made under the Freedom of Information Act. (5 ILCS 140/7(2)).

### 2010 Urbanized Area and 20-Year Planning Boundary

Approved by PPUATS Policy Committee May 7th, 2014  
Map Created November 2021



- Interstate
- Other Highways
- 20 Year Planning Boundary
- Tri-County Boundaries
- Urbanized Area
- Municipal Boundary
- Hydrology



0 5 10 Miles